

(On the Letterhead of the Trading Member)

**APPLICATION FOR APPROVAL AS USER IN FUTURES & OPTIONS  
SEGMENT**

To : Membership Department  
National Stock Exchange of India Limited  
Exchange Plaza, Bandra Kurla Complex  
Bandra (East), Mumbai - 400 051

Dear Sir,

I/We \_\_\_\_\_ (Name of Trading Member) do hereby communicate to you that Mr. / Ms. \_\_\_\_\_ (Name of User) has been appointed by us as an Authorised Person at our trading office situated at \_\_\_\_\_ where we have the VSAT / Leased Line connectivity. We further desire that Mr. / Ms. \_\_\_\_\_ be granted permission to act as our Approved USER at the above said office for our trading terminals in the Capital Market / Futures & Options / Wholesale Debt Market Segment. The details of the office where the terminal is located and other relevant details are furnished below.

1. SEBI Registration No. :
2. Our Registered Office address as on date :
3. Status and Address of the office where terminal is : Regd. Office / Head  
Office /  
located : Branch Office /  
Regd. Sub-Broker's  
Office Address:  
\_\_\_\_\_
4. Name of and designation of the person in-charge of  
the above office :

**DETAILS OF THE PROPOSED USER**

5. Name of the person for whom this application for allotment  
of User is made (*expand all initials*) :
6. Date of birth :
7. Father's name :
8. Permanent Address :
9. Present Address :
10. Qualification :

11. Type of User : Trader  Inquiry  Privilege   
**(applicable for WDM segment only)**

12. Registration No. of the NCFM test (copy enclosed) :

13. Validity Date of NCFM : 

DD	MM	YYYY
----	----	------

14. Relationship of the proposed USER with the Trading Member / Regd. sub-broker (i.e. an employee, agent on commission basis, trainee, others (pls. specify) and Period from which the relation commenced :  
*(Pls. refer to Regulation 2.2.8 of Capital Market Segment and F&O Segment and Circular 163 (NSE/MEM/1591) dated April 20, 2000 regarding eligibility for becoming an Approved User)*

**If the said Approved User is a registered sub-broker / representative of sub-broker (applicable for Capital Market Segment only)**

15. Name of Registered Sub-broker :

16. Nature of representation with sub-broker (if the proposed is not the sub-broker) :

17. SEBI Registration No. of the sub-broker :

18. Name and designation of contact person in office of the sub-broker :

19. Mode of connectivity for trading from the said premises : VSAT ID  
 \_\_\_\_\_ Leased Line ID  
*(from where the proposed User would operate)*  
 \_\_\_\_\_

20. No. of existing terminals at the above VSAT / LL Id: Capital Market \_\_\_\_\_  
 F&O \_\_\_\_\_

21. We hereby confirm that the following have already been installed by us for the new Trader Workstation for which this facility is being sought.

Sr.No.	Particulars	Yes / No
1.*	PC with following configuration	
A	Pentium 166 Mhz MMX or above	
B	32 MB RAM	
C	512 KB cache memory	

D	Min. 2.1 GB Hard Disk Drive	
E	525 KB conventional memory	
F	32 Bit LAN Card	
G	Operating System (Windows NT 4 Workstation)	
H	Eicon card drivers for client machine for Windows NT	
2	Client Machine connected to the Server on LAN	

\* Note: As per NSE Circular No: NSE/SYST/00526 dated May 19, 1998

I/We hereby agree and bind myself/ourselves to be responsible for all acts, quotations made and transactions done, trades made, or effected by Mr. / Ms. \_\_\_\_\_ as our Approved User on the Trading System on the Capital Market / Wholesale Debt Market / Futures & Options Segment of the National Stock Exchange. I/We shall ensure that he/she will not execute any order on his/her own account or on account of anyone without such order having my/our prior approval in writing. We shall ensure that the proposed Approved User Mr. / Ms. \_\_\_\_\_ will not function / place orders / deal on the trading system in any manner that would represent that the trades have been placed as a sub-broker unless he is a SEBI registered sub-broker. In case of Mr./Ms. \_\_\_\_\_ ceasing to be associated with us as \_\_\_\_\_, we shall communicate to you and seek the disablement of the User ID so allotted against this application. We are also aware that the NCFM certificate has a validity of \_\_\_ years and accordingly the User IDs so allotted would be withdrawn by the Exchange on the expiry of such period unless the said certification is revalidated. I / We certify that we have not applied for any other User ID in the name of Mr. / Ms. \_\_\_\_\_ on the same segment for which this ID's now being applied for. The User ID so allotted would be utilized by Mr. / Ms. \_\_\_\_\_ only to access the NEAT trading system.

Date: \_\_\_\_\_

**Place: Stamp & Signature of the authorised representative of the Trading Member**

I hereby declare that I am aware about the Rules, Regulations and Bye-laws and Circulars issued thereunder by the Exchange. I agree to become a User of M/s \_\_\_\_\_ (name of Trading Member). I would intimate the Exchange on ceasing to be an employee / User of M/s \_\_\_\_\_ (name of the trading member). I hereby agree to abide by the Rules, Regulations and Bye-laws and Circulars issued by the Exchange that may be in force from time to time and understand that appropriate action may be initiated by the Exchange in case of violation of the Rules, Regulations and Bye-laws and Circulars issued by the Exchange. I certify that I have not applied for any other User ID on the same segment for which this ID's now being applied for. I will not allow anybody else to access / use the NEAT Trading System using the User ID so allotted to me.

Date: \_\_\_\_\_

\_\_\_\_\_  
Name and signature of User