

NATIONAL STOCK EXCHANGE'S CERTIFICATION IN FINANCIAL MARKETS (NCFM)

(ON-LINE Tests)

REGISTRATION FORM

(Please read instructions on page 4 carefully before filling up the form)

FIRST NAME	MIDDLE NAME
<input type="text"/>	<input type="text"/>
SURNAME	
<input type="text"/>	

PART-I (In case you are already registered, please ignore Part I and fill-up Part II and III)

NAME TO BE PRINTED ON CERTIFICATE		Recent Passport size Colour Photograph
<input type="text"/>		
<input type="text"/>		(Candidates Signature- Sign inside the box)
DATE OF BIRTH (DD-MMM-YYYY)	MALE/FEMALE	
<input type="text"/>	<input type="text"/>	
ADDRESS		
FLAT NO/ H.NAME & NO. _____		<input type="text"/>
STREET NAME : _____		
VILLAGE/AREA/DISTRICT : _____		
LANDMARK : _____		
STATE : _____		
CITY	PINCODE	RESIDENCE/MOBILE NO: (WITH STD CODE)
<input type="text"/>	<input type="text"/>	<input type="text"/>
OFFICE NUMBER (WITH STD CODE)	E MAIL - (MANDATORY)	
<input type="text"/>	<input type="text"/>	
QUALIFICATIONS		
PROFILE <input type="text"/>		
COURSE	YEAR OF PASSING	UNIVERSITY / INSTITUTE
<input type="text"/>	<input type="text"/>	<input type="text"/>
		PERCENT / GRADE
		<input type="text"/>

PART-II TEST DETAILS

NCFM- _____ **(specify registration number, if already registered under NCFM.)**

MODULE NAME (Select the desired Module)

- Capital Market (Dealers) Module
- Derivative Market (Dealers) Module
- Securities Market (Basic) Module
- Surveillance in Stock Exchanges
- FIMMDA-NSE Debt Market Module
- Financial Markets: A Beginners' Module
- NSDL Depository Operations Module

- Commodities Market Module
- AMFI-Mutual Fund (Advisors) Module
- AMFI-Mutual Fund (Basic) Module
- Corporate Governance Module
- Compliance Officers (Brokers) Module
- Compliance Officers (Corporates) Module

For Office Use only

- Hand Del/ Courier
- Mails of the Day
- Chkd/Reg./Scanned
- Enrollment/DD Entry
- SM Issued/SM Sent

TEST SCHEDULE

TEST CENTRE	TEST DATE	TEST TIME
<input type="text"/>	<input type="text"/>	<input type="text"/>
	DDMMMYYYY	HHMM

Once the test details has been specified by the candidate, the test cannot be rescheduled
Fees once paid shall not be refunded.

PART-III PAYMENT DETAILS

DD NUMBER 1	DD NUMBER 2	DD NUMBER 3	DD DATE (DD-MM-YY)	TOTAL DD AMOUNT
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
ISSUING BANK (NAME AND BRANCH) _____				

I certify that the above information provided by me is true and correct to the best of my knowledge, information and belief.

PLACE

DATE

(CANDIDATE'S SIGNATURE)

For NSE office use only

Checklist for accepting Forms and Demand Drafts
Mode of receipt
Date and Time of receipt
No of Forms Attached

Hand Delivery/ Courier /Post

Personal Details
First Name and Last Name is entered in the Registration form
Date of Birth is entered correctly
Address is complete
Contact Numbers are specified
Recent colour passport size photograph is pasted
Candidate has signed in the signature box

Test Details
Name of module is specified
Test Center is specified
Test Date is specified
Test Time Slot is specified

Payment Details
Payment by demand draft/pay order
Individual or Corporate
DD payable correctly
DD signed by authorised signatory
Valid DD date
Amount specified as per Module fees
DD payable at
Mumbai/ Delhi/Chennai/Hyderabad/ Kolkata/ Ahmedabad
Corrections if any on the DD signed by authorised signatory

Recd. By

Checked By

Study Material

Study Material issued to the candidate

Hand Delivery

Courier/ Post

Recd. Study Material

**NATIONAL STOCK EXCHANGE'S CERTIFICATION IN FINANCIAL MARKETS (NCFM)
CANDIDATE'S ACKNOWLEDGEMENT COPY**

Name of the candidate: _____ (Reg.No.): _____

1) As desired, the following test details are confirmed for test date _____.

Sr. No.	Tick	NAME OF MODULE
1		Derivative Market (Dealers) Module
2		Capital Market (Dealers) Module
3		Securities Market (Basic) Module
4		FIMMDA-NSE Debt Market (Basic) Module
5		Surveillance in Stock Exchanges Module
6		NSDL - Depository Operations Module
7		Commodities Market Module
8		AMFI-Mutual Funds (Basic) Module
9		AMFI-Mutual Funds (Advisors) Module
10		Corporate Governance Module
11		Financial Markets: A Beginners' Module
12		Compliance Officers (Brokers) Module
13		Compliance Officers (Corporates) Module

Available Time Slots for any module

Tick	Time Slot (in hours)
	09:30 to 11:30
	11:30 to 13:30
	13:30 to 15:30
	15:30 to 17:30

Received following payment details.

Demand Draft Number	
Demand Draft Date	
Amount	
Name of the Bank	

- Fees once paid shall not be refunded. Please note that once the test details are specified, the test cannot be rescheduled. You may seek fresh enrollment. Fees once paid shall not be refunded.
- You are requested to be present at the test center at least 30 minutes prior to the test time slot.
- Candidates need to bring along with them this acknowledgement copy, pen and a scientific calculator. Excel application would be provided for candidates appearing for the FIMMDA-NSE Debt Market (Basic) Module.

It may please be noted that NSE would not be responsible for safeguarding personal belongings and valuables such as mobile phones, laptops, books etc. brought by the candidates in the premises while appearing for the NCFM test. The candidates are advised not to bring valuables while coming for the tests and if they do bring it, it will be at their own risk and NSE will not be responsible in case of loss or theft of such items.

In case any candidate is found using any unfair means (including use of mobile phone) or in possession of any incriminating material during the test will be expelled from the test hall and his test would be cancelled. The decision of the test administrator in this regard shall be final.

NCFM is an online objective type testing system. The entire process of testing, assessing, scores reporting and invigilation in the NCFM is fully automated. Model tests are available on the website to familiarise candidates about the pattern of the question paper.

In case of any further clarifications kindly contact the NCFM Department of the nearest NSE office. Additional information on the NCFM programme can also be obtained at www.nseindia.com or by emailing at ncfm@nse.co.in (Ph. No-26598252 / 16 , Fax No-26598393)

On-Line registration facility is also available on the website www.nseindia.com. Click on NCFMOnlineRegistration (NEW) link. Existing NCFM Candidates can generate their user-id and password by using the option "Existing user sign-up" link. NCFM Reg.No. is required for the same.

Yours sincerely
For **NATIONAL STOCK EXCHANGE OF INDIA LIMITED**

Name of the NSE official

Signature

Date

Place

INSTRUCTIONS FOR FILLING-UP REGISTRATION FORM

- 1 Please fill in all details in the entire form only in BLOCK LETTERS. Write only one alphabet in each square provided. Leave one square blank between words. Please fill in the First Name, Middle Name, Last Name and Date of Birth mandatorily.
- 2 Fill in your DATE OF BIRTH in the following format :- DD-MMM-YYYY (E.G.23 MAR 1974.)
- 3 You are required to paste recent colour passport size photograph in the space provided. Do not staple the photograph. Do not sign across the photograph. Sign in the block provided for signature.
- 4 Please mention full contact details with city, pincode & state. Please specify your telephone no./mobile no. and email.
All fees to be paid by Demand Draft in favour of **NATIONAL STOCK EXCHANGE OF INDIA LIMITED**. Please enter the details of your mode of payment in the space provided. Also keep a photocopy of the demand draft with you, before you send it to NSE. Please write the name of the candidate on the reverse of the demand draft.
- 6 **Fees Table :-**

Enrollment Fees : (see Module table given in point 8)
Scorecard : Scorecard is issued on the spot to the candidate after the completion of test. This service is free of charge. For subsequent requests for scorecard a demand draft of Rs.50/- is payable.
Duplicate Certificate :Rs.200/-payable by demand draft, enclosed with the application letter giving details of the test. (Certificate is issued to only successful candidates)
Purchase of Study Material : The study material is provided with the enrollment fees. Additional study material is available for Rs.200/- per module, payable by demand draft, except for AMFI & Corp Gov. & Compliance Modules refer (point no. 15,16 & 17)

- 7 On-line registration is also possible on www.nseindia.com. Please visit the website for complete details.
- 8 Please tick the box of the module you wish to appear. The details of NCFM modules are as follows.

Sr. No.	NAME OF MODULE	Duration (mins)	No. of Questions	Max Marks	Pass Marks (%)	Cert. Validity (in years)	Enrollment Fees
1	Derivative Market (Dealers) Module	120	60	100	60	3	1000
2	Capital Market (Dealers) Module	105	60	100	50	5	1000
3	Securities Market (Basic) Module	105	60	100	60	5	1000
4	FIMMDA-NSE Debt Market (Basic) Module	120	60	100	60	5	1000
5	Surveillance in Stock Exchanges Module	120	50	100	60	5	1000
6	NSDL - Depository Operations Module	75	60	100	60	5	1000
7	Commodities Market Module	120	60	100	50	3	1200
8	AMFI-Mutual Funds (Basic) Module	90	62	100	50	5	1000
9	AMFI-Mutual Funds (Advisors) Module	120	69	100	50	5	1000
10	Corporate Governance Module	90	100	100	60	5	1000
11	Financial Markets: A Beginners' Module	60	60	100	50	5	500
12	Compliance Officers (Brokers) Module	60	60	100	60	5	1000
13	Compliance Officers (Corporate) Module	60	60	100	60	5	1000

- 11 In the space provided for TEST DETAILS (Part-II), please mention Test Center. Test date and time may be submitted as per your choice and as per the availability at each test center. Test date can be informed by e-mail. Please refer the format given on the website under off-line registration procedure. The study material of all modules **except for** AMFI & Corp. Gov. & Compliance modules will be sent to you by courier in case of test request sent to the Exchange by courier. Please mark your envelopes as 'To NCFM Department'.
- 13 Form submission and collection of study material at all NSEIL offices is on Mon-Friday between 10 a.m. to 5:00 p.m. only.
- 14 NCDEX distributes the training material of Commodities Market Module free of cost only to their active members and to others at a cost of Rs.500/- plus courier charges. Kindly contact NCDEX, Exchange Plaza, Bandra Kurla Complex, Bandra East, Mumbai-400051 office for this training Material. #022-56406789
Study Material for the AMFI modules is available for sale at AMFI's office at Association of Mutual Funds in India, 106, Fress Press House, Free Press Journal Marg, Nariman Point, Mumbai - 400021. #022-56373907/08, 22836738/39.
Study Material for the Corporate Governance module is available for sale at (CS) offices at: A) ICSI-Center for Corporate Research & Training Plot No. 101, Sector 15, Institutional Area, CBD Belapur, Navi Mumbai-400614 #022-27577814-16 Extn.406. emails: crt@icsi.edu, crt@vsnl.com B) Western Indian Regional Council (WIRC) of the ICSI, 13 Jolly Makers Chambers-II, First Floor, Nariman point, Mumbai 400021, #022-22047569. Contact Person: Mr. Mani, email: wiro@icsi.edu
In case of Compliance Officers Modules, candidates are required to refer to the relevant books, circulars, manuals etc., as per the given
- 17 curriculums, on their own. No study material will be provided for the Compliance Officers Modules.
- 18 Please note that the NCFM tests are conducted at NSEIL's offices from Monday to Friday only. The details of test centres and timings are given below:

	Name of Test Centre	Time			
1	National Stock Exchange of India Ltd., 'Exchange Plaza', Bandra Kurla Complex, Bandra East, Mumbai - 400051 Tel.: (022) 26598252 Fax: (022) 26598393 e-mail : ncfm@nse.co.in	9:30 to 11:30	11:30 to 13:30	13:30 to 15:30	15:30 to 17:30
2	National Stock Exchange of India Ltd., 'Thapar House', Western wing, Mezzanine Floor, Janpath Lane, 124, Janpath, New Delhi-110001 Tel.:(011)23344313-27 Fax:(011) 23366658 e-mail : ncfm_delhi@nse.co.in	9:45 to 11:45	11:45 to 13:45	13:45 to 15:45	15:45 to 17:45
3	National Stock Exchange of India Ltd., 1st Floor, Park View Apartments, 99, Rash Behari Avenue, Kolkata-700029. Tel.(033)24631802-1805, 1809-1812 (Board Nos); Fax. (033) 24631791/1806; e-mail : ncfm_kolkata@nse.co.in	9:40 to 11:40	11:40 to 13:40	13:40 to 15:40	15:40 to 17:40
4	National Stock Exchange of India Ltd., 7th Floor, Arihant Nitco Park, 90 Dr. Radhakrishnan Salai, Mylapore, Chennai-600004 Tel:(044)28475100 Fax:(044)28473633 e-mail: ncfm_chennai@nse.co.in	9:35 to 11:35	11:35 to 13:35	13:35 to 15:35	15:35 to 17:35
5	National Stock Exchange of India Ltd., H.No.3-6-322 Mahavir House II nd Floor, Chamber no.203 & 204, Basheerbagh, Hyderabad : 500029. Tel : 040-23227084/5 Fax : 040-23227086	9:30 to 11:30	11:30 to 13:30	13:30 to 15:30	15:30 to 17:30
6	National Stock Exchange of India Ltd., 406, Sakar II, Near Ellis Bridge, Ahmedabad-380006 Tel.: (079) 26580212/3 Fax: (079) 26576123	9:30 to 11:30	11:30 to 13:30	13:30 to 15:30	15:30 to 17:30
7	Other centres (depending on demand) please refer to our website www.nseindia.com NCFM Page - Tests at Other Location				